I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: April 15, 2005

Signature: (Nabeela R. McMillian)

Docket No.: 23004/40746

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Tillett et al.

Application No.: 10/517,698

Filed: December 13, 2004

Art Unit: Not Yet Assigned

For: DNA AMPLIFICATION AND SEQUENCING

IN COLLAPSIBLE EMULSIONS

Examiner: Not Yet Assigned

## ASSERTION OF SMALL ENTITY STATUS UNDER 37 C.F.R. §1.27(C)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The undersigned hereby asserts, in accordance with 37 C.F.R. §1.27(c), that the applicant is entitled to small entity status in the above-identified application. It is therefore requested that the above-referenced application be accorded small entity status to permit payment of reduced fees for said application.

Dated: April 15, 2005

Respectfully submitted,

Naberia R. McMillian

Registration No.: 43,363

MARSHALL, GERSTEIN & BORUN LLP

233 S. Wacker Drive, Suite 6300

Sears Tower

Chicago, Illinois 60606-6357

(312) 474-6300

Attorney for Applicant

PCT/AU03/00746

Box No. VIII (iv) DECLARATION: INVENTORSHIP (only for the purposes of the designation of the United States of America) The declaration must conform to the standardized wording provided for in Section 214; see Notes to Boxes Nos. VIII, VIII (i) to (v) (in general) and the specific Notes to Box No. VIII (iv). If this Box is not used, this sheet should not be included in the request.

Declaration of inventorship (Rules 4.17(iv) and 51 bis.1(a)(iv)) for the purposes of the designation of the United States of America:

I hereby declare that I believe I am the original, first and sole (if only one inventor is listed below) or joint (if more than one inventor is listed below) inventor of the subject matter which is claimed and for which a patent is sought.

This declaration is directed to the international application of which it forms a part (if filing declaration with application).

This declaration is directed to international application No. PCT/AU03/00746 (if furnishing declaration pursuant to Rule 26ter).

I hereby declare that my residence, mailing address, and citizenship are as stated next to my name.

I hereby state that I have reviewed and understand the contents of the above-identified international application, including the claims of said application. I have identified in the request of said application, in compliance with PCT Rule 4.10, any claim to foreign priority, an I have identified below, under the heading "Prior Applications," by application number, country or Member of the World Trade Organization, day, month and year of filing, any application for a patent or inventor's certificate filed in a country other than the United States of America, including any PCT international application designating at least one country other than the United States of America, having a filing date before that of the application on which foreign priority is claimed.

**Prior Applications:** 

I hereby acknowledge the duty to disclose information that is known by me to be material to patentability as defined by 37C.F.R. § 1.56. including for continuation-in-part applications, material information which became available between the filing date of the prior application and the PCT international filing date of the continuation-in-part application.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief ar believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

1,11

Name: Daniel TILLETT / CO	
Residence: New South Wales, Australia (city and either US state, if applicable, or country)	united to the second of the se
Mailing Address: 5/1 Gordon Street, Randwick, New South W	ales 2031, Australia
Inventor's Signature:  (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	Date: 15/9/3  (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)
Name: Torsten THOMAS 2 - 000  Residence: New South Wales, Australia	
Residence: New South Wales, Australia (city and either US state, if applicable, or country)	
Mailing Address: 6/46 Bream Street, Coogee, New South Wale	es 2034, Australia
Inventor's Signature:  (if not contained in the request, or if declaration is corrected or added under Rule 26ter after the filing of the international application. The signature must be that of the inventor, not that of the agent)	Date:   (of signature which is not contained in the request, or of the declaration that is corrected or added under Rule 26ter after the filing of the international application)

This declaration is continued on the following sheet, "Continuation of Box No. VIII (iv)".

USE IN LIEU OF PTO-1390 (Rev. 10-2004) Reflects USPTO filing fees in effect from 12/\_/04

U.S. APPLICATION NO. (IL know	m, see 37 CFR 1.5)	INTERNATIONAL APPLICATION NO.			ATTORNEY'S DOCKET NUMBER			
- 1/5	1/698	PCT/AU03/00746		23004/40746				
21. x The following fees are submitted:			CALCULATIONS	PTO USE ONLY				
BASIC NATIONAL FEE								
Filing Fee				\$ 300.00	1			
SearchFee				\$ 500.00				
Examination Fee				\$ 200.00				
Application Size Fee, each additional 50 sheets over 100 sheets				\$ 0.00				
TOTAL FILING FEE ≃				\$ 1,000.00				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).					\$			
CLAIMS	NUMBER FILED	NUMBER EXTRA		RATE				
Total claims	64 -20 =	44	х	50.00	\$ 2,200.00			
Independent claims	5 -3 =	2	х	200.00	\$ 400.00			
MULTIPLE DEPENDE	MULTIPLE DEPENDENT CLAIM(s) (if applicable) + 360.00				\$ 360.00			
	<del></del>	OTAL OF ABOVE CAL			\$ 3,960.00			
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.					s			
			SUB	TOTAL =	\$ 3,960.00			
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest daimed priority date (37 CFR 1.492 (f)).					\$			
TOTAL NATIONAL FEE =				\$ 3,960.00				
Fee for recording the endo be accompanied by an ap	osed assignment (37 CF propriate cover sheet (37	R 1.21 (h)). The assign CFR 3.28, 3.31). <b>\$40.</b> 0	ment r 00 per	nust property +				
TOTAL FEES ENCLOSED =				\$ 3,960.00				
					Amount to be refunded:	\$		
					Amount to be charged:	\$		
a. A check in the amount of \$ 0.00 to cover the above fees is enclosed.								
b. x Please charge my Deposit Account No. 13-2855 in the amount of \$ 3,960.00								
to cover the above fees. A duplicate copy of this sheet is enclosed.  c. x The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any								
overpayment to Deposit Account No. <u>13-2855</u> . A duplicate copy of this sheet is enclosed.								
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.								
SEND ALL CORRESPONDE	NCE TO:			//				
	SIGNATURE: Thomas J. Wrona							
		- N	AME		Tightas 5. Wiona			
CUSTOMER NUMBER: 04743  44,410  REGISTRATION NUMBER								
ALGIGITATION NUMBER								